

# Collocation analysis for UMLS knowledge-based word sense disambiguation

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## Abstract

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**Background:** The effectiveness of knowledge-based word sense disambiguation (WSD) approaches depends in part on the information available in the reference knowledge resource. Off the shelf, these resources are not optimized for WSD and might lack terms to model the context properly. In addition, they might include noisy terms which contribute to false positives in the disambiguation results.

**Methods:** We analyzed some collocation types which could improve the performance of knowledge-base disambiguation methods. Collocations are obtained by extracting candidate collocations from MEDLINE and then, assigning them to one of the senses of an ambiguous word. We performed this assignment either using semantic group profiles or a knowledge-based disambiguation method. In addition to collocations, we used second-order features from a previously implemented approach.

Specifically, we measured the effect of these collocations in two knowledge-based WSD methods. The first method, AEC, uses the knowledge from the UMLS to collect examples from MEDLINE which are used to train a Naïve Bayes approach. The second method, MRD, builds a profile for each candidate sense based on the UMLS and compares the profile to the context of the ambiguous word.

We have used two WSD test sets which contain disambiguation cases which are mapped to UMLS concepts. The first one, the NLM WSD set, was developed manually by several domain experts and contain words with high frequency occurrence in MEDLINE. The second one, the MSH WSD set, was developed automatically

using the MeSH indexing in MEDLINE. It contains a larger set of words and covers a larger number of UMLS semantic types.

**Results:** The results indicate an improvement after the use of collocations, although the approaches have different performance depending on the data set. In the NLM WSD set, the improvement is larger for the MRD disambiguation method using second-order features. Assignment of collocations to a candidate sense based on UMLS semantic group profiles is more effective in the AEC method.

In the MSH WSD set, the increment in performance is modest for all the methods. Collocations combined with the MRD disambiguation method have the best performance. The MRD disambiguation method and second-order features provide an insignificant change in performance. The AEC disambiguation method gives a modest improvement in performance. Assignment of collocations to a candidate sense based on knowledge-based methods has a better performance.

**Conclusions:** Collocations improve the performance of knowledge-based disambiguation methods, although results vary depending on the test set and method used. Generally, the AEC method is sensitive to query drift. Using AEC, just a few selected terms provide a large improvement in disambiguation performance. The MRD method handles noisy terms better but requires a larger set of terms to improve performance.

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## Introduction

Word sense disambiguation (WSD) is an intermediate task within information retrieval and information extraction, attempting to select the proper sense of ambiguous words. For instance, the word *cold* could either refer to *low temperature* or the *viral infection*.

Existing knowledge sources, such as the Unified Medical Language System (UMLS)<sup>®</sup> [1,2], are used to annotate terms in text. An example of an automatic text annotation tool is MetaMap [3], which annotates spans of text with UMLS Concept Unique identifiers (CUIs). Ambiguity of terms in knowledge repositories poses a challenge to these tools which rely primarily on string matching techniques to map the candidate concepts to the terms in the text

Among the available approaches to perform WSD, statistical learning approaches achieve better performance [4–6]. On the other hand, statistical learning approaches require manually annotated training data for each ambiguous word to be disambiguated. The preparation of this data is very labor intensive

and therefore scarce. So, manual annotation to cover all of the ambiguous cases of a large resource like the UMLS is infeasible.

Knowledge-based methods do not require manual annotation and are an alternative to statistical learning methods but with lower performance. These methods compare the overlap of the context of the ambiguous word to candidate senses in the reference knowledge base.

In some cases, the reference resource used in knowledge-based methods might lack content to properly differentiate the senses of an ambiguous word. We are interested in identifying this missing content automatically and transferring contextual information of ambiguous words to existing resources. Specially, we are interested in improving the content of the UMLS Metathesaurus® to enhance WSD based on knowledge-based methods. In this work, we focus on the first task which collects collocations using several heuristics.

Enrichment of contextual features has been tested on the disambiguation of verbs in a supervised environment by Dligach and Palmer [7], although knowledge-based approaches were not evaluated in their study.

Several approaches have been proposed in the literature to collect information about collocations for the purpose of aiding disambiguation methods. In the biomedical domain, Stevenson et al. [8] use a relevance feedback method to extract terms which could be used to further identify relevant examples for disambiguation. They found that there was a small decrease in performance compared to the baseline approach. In addition, preliminary work that we have done using similar approaches to extract from an automatically generated corpus for each one of the senses of the ambiguous word decreased the quality of the final corpus. One of the problems is that the original query retrieved some non-relevant documents which added noisy terms to the expanded query. To alleviate this problem, we propose a method to reduce the noise returned by the query in order to increase the accuracy of the disambiguation model. We first identify terms which form a collocation with the ambiguous word; and second, we assign one of the sense to the collocation using several disambiguation approaches. The presented methods rely on the extraction of terms from MEDLINE® [9] related to the ambiguous word and then on its categorization into available senses.

This article is organized as follows. In the next section, we introduce: the UMLS, used as knowledge source for WSD methods; MEDLINE, used as resource to identify collocations; and finally the word sense disambiguation methods used to evaluate the extraction of collocations. Then, we describe the methods used in this work. This includes collocation extraction methods and the evaluation test sets. Finally, we

show the results and conclusions and propose direction for future work.

## Background

In this section, we introduce the components required by the experiments described in the methods section: the knowledge source used (UMLS), the corpus used to extract collocations (MEDLINE) and the knowledge-based WSD methods used to evaluate the impact of the distilled collocations.

## UMLS

The NLM's UMLS provides a large resource of knowledge and tools to create, process, retrieve, integrate and/or aggregate biomedical and health data. The UMLS has three main components:

- Metathesaurus, a compendium of biomedical and health content terminological resources under a common representation which contains lexical items for each one of the concepts and relations among them. In the 2009AB version, it contains over a million concepts.
- Semantic network, which provides a categorization of Metathesaurus concepts into semantic types. In addition, it includes relations among semantic types.
- SPECIALIST lexicon, containing lexical information required for natural language processing which covers commonly occurring English words and biomedical vocabulary.

Concepts are assigned a unique identifier (CUI) which has linked to it a set of terms which denote alternative ways to represent the concept, for instance, in text. These terms, depending on the availability, are represented in several languages, although only English terms are used in this work. Concepts are assigned one or more semantic types. Concepts may have a definition linked to them and sometimes more than one from multiple sources. Relations between concepts are often available. All the information about a concept can be traced back to the resource from where it was collected.

For example, the concept with CUI *C0009264* denotes the idea of *cold temperature*. According to the Metathesaurus, terms like *cold*, *cold temperature* and *low temperature* could be used to express this idea. In addition, two definitions are available for this concept (from MeSH and from the NCI Thesaurus), e.g. *An absence of warmth or heat or a temperature notably below an accustomed norm*. Several related concepts can be found for this concept. For instance, sibling concepts (*heat*), hypernyms (*temperature*) and non-taxonomically related concepts (*cold storage*, *cryotherapy*).

## MEDLINE

MEDLINE is an abbreviation for *Medical Literature Analysis and Retrieval System Online*. It is a bibliographic database containing over 18 million citations to journal articles in the biomedical domain and is maintained by the National Library of Medicine (NLM). Currently, the citations come from approximately 5,200 journals in 37 different languages starting from 1949. The majority of the publications are scholarly journals but a small number of newspapers, magazines, and newsletters have been included. MEDLINE is the primary component of PUBMED® [10] which is a free online repository allowing access to MEDLINE as well as other citations and abstracts in the fields of medicine, nursing, dentistry, veterinary medicine, health care systems, and pre-clinical sciences.

### Word sense disambiguation methods

We have considered two knowledge-based disambiguation methods which have already been compared in previous work [5,6]. These methods are supported by different assumptions, so the collocations they produce will have differences, which we are interested to compare. The first method uses UMLS knowledge to build queries to collect training data for a statistical learning method. The learned model is, then, used to disambiguate the context of the ambiguous word. The second method, builds a concept profile which is compared to the context of the ambiguous word.

#### *The Automatic Extracted Corpus (AEC) Method*

The Automatic Extracted Corpus (AEC) Method attempts to alleviate the problem of requiring manually annotated training data for supervised learning algorithms. In this method, training data is automatically created for a statistical learning algorithm; this automatically generated data is used to train the learning algorithm to disambiguate ambiguous terms.

The training data is automatically generated using documents from MEDLINE. To create the training data, we automatically generate queries using English *monosemous relatives* [11] of the candidate concepts which, potentially, have an unambiguous use in MEDLINE. The list of candidate relatives includes synonyms and terms from related concepts. Documents retrieved using PUBMED are assigned to the concept which was used to generate the query. If no documents are returned for a given query, the quotes are replaced by parentheses to allow finding the terms in any position in the title or abstract. The retrieved documents are used to create training examples for each sense.

This training data is used to train a Naïve Bayes classifier using the words surrounding the ambiguous

words as features. Disambiguation is performed using the trained model with new examples where the ambiguous word has to be disambiguated. The trained model is evaluated against a manually annotated set from which accuracy values are recorded.

In some cases, automatically generated queries retrieved no citations for a given sense of an ambiguous term. In the experiments reported in this study we have randomly selected documents from MEDLINE for the senses in which no citation is retrieved. This has shown to improve the results for ambiguous terms like *determination* and *growth*. This also explains the differences with the results reported in [5, 12].

### *The Machine Readable Dictionary (MRD) Method*

In this method, context words surrounding the ambiguous word are compared to a profile built from each of the UMLS concepts linked to the ambiguous term being disambiguated. Vectors of concept profiles linked to an ambiguous word and word contexts are compared using cosine similarity. The concept with the highest cosine similarity is selected. This method has been previously used by McInnes [13] in the biomedical domain with the NLM WSD data set.

A concept profile vector has as dimensions the tokens obtained from the concept definition (or definitions) if available, synonyms and related concepts excluding siblings. Stop words are discarded, and Porter stemming is used to normalize the tokens. In addition, the token frequency is normalized based on the inverted *concept* frequency so that tokens which are repeated many times within the UMLS will have less relevance.

## **Methods**

As introduced above, in this work we would like to improve the matching of the contextual features of ambiguous terms to the information available in the UMLS Metathesaurus. In this section, we describe the process used to extract collocations from text and how these collocations are assigned to the senses of the ambiguous word. Then, we describe a method which extracts second-order features which is combined as well with the disambiguation algorithms presented above.

### **Collocation processing**

For our processing, we assume one-sense-per-collocation and one-sense-per-document as suggested by Yarowsky [14]. In our study, collocations present one more difficulty since the collocations have to be assigned to one sense or none if it can co-occur with both senses.

The process used to obtain collocations associated to one of the senses is split into two main tasks. First, collocations are obtained from MEDLINE from a set of retrieved citations per ambiguous words. These citations are processed to extract different types of collocations. Then, collocations are assigned to one of the candidate senses of the ambiguous word.

### *Collocation extraction*

Extraction of collocations from MEDLINE is performed in several steps. First, 1,000 citations are retrieved containing one of the ambiguous terms using PUBMED. Then, several collocation types are used to perform term extraction. These collocation types are:

- Left side collocations

Left side collocations are terms which act as modifiers of the ambiguous term and which occur to the left of it. This combination with the ambiguous word will produce a hyponym which will have a lower chance of being ambiguous. Left side collocations have been explored by Rosario et al. [15], even though her approach had problems when dealing with ambiguous terms.

- Co-occurrence collocations

In Yarowsky's work [14], the term collocation does not mean words which appear one adjacent to the other but words co-occurring in the same document. We use this definition in this type of collocation. This will produce a larger set of terms which might be noisier compared to the other groups.

- Syntactic dependent collocations

We have considered words occurring within a MEDLINE citation text and we have selected terms, on which a dependency is identified using a syntactic parser. To extract the dependent terms the citations are parsed using the Stanford Parser [16]. This method might extract terms which are less noisy than the ones obtained using co-occurrence collocations.

Once we have extracted these candidate terms, we determine if the collocation is statistically significant using the t-test as the statistical hypothesis test [17] with a confidence level of  $\alpha = 0.005$ .

Some of these collocations are general terms (e.g., *age*, *study*, *results*) which might be related to any of the senses of an ambiguous term. These non-discriminant terms might cause problems, like query drift, to methods like AEC. In addition, some of the terms are very frequent with high probability of occurrence in

MEDLINE. We have decided to filter out terms with more than 400k occurrences in MEDLINE. This threshold has been established using as reference a standard information retrieval stop word list.

Tables 1, 2 and 3 show examples of collocations, where the headers of the table are ambiguous terms.

#### *Collocation assignment to ambiguous term sense*

Extracted terms are assigned to one of the senses of the ambiguous term. This task is not straightforward since assigning a term to one of the ambiguous senses requires some notion of disambiguation.

In the case of left side collocations, we use the Metathesaurus to do a preliminary assignment of the ambiguous word based on UMLS semantic types. In refinement or adaptation of existing lexical and ontological resources, head and modifier heuristics are often used to identify new hyponyms. In our work, as the head noun is an ambiguous term, we need a different way to perform this assignment. As each UMLS concept is assigned one or more semantic types, we propose to classify these terms into one of these categories.

Then, we look for the term in the UMLS Metathesaurus and, if the term already exists, use the semantic type already assigned to the term to assign the sense of the ambiguous term. In addition, this might be used to identify relations between existing terms in the Metathesaurus which are not already related. If the same semantic type is assigned to more than one of the senses of the ambiguous term, then we discard this collocation term since we rely in the semantic type to do the term categorization.

We have found that some related terms have similar semantic types but cannot be identified just by looking at a flat structure of semantic types. For instance, *cerebrospinal fluid* is assigned to *Body Substance* while the related ambiguous sense of *fluid* is assigned to *Substance*. In this work, the taxonomy of the UMLS Semantic Network is used to identify these cases. This is an improvement on [12], where only the semantic group derived from the semantic type is used without considering the taxonomy provided by the semantic network.

For the other collocation types, we have used a k-NN (k-Nearest Neighbor) approach. Examples of use of the collocation with the ambiguous term are collected retrieving 100 documents from PUBMED. We give more relevance to precision, so we avoid taking any categorization where the number of neighbors is lower than 66 out of 100 votes. We have decided to choose a large number of examples and a large number of neighbors, over half of the examples, to discard collocations which might be used in combination with any of the candidate senses of the ambiguous word.

The assignment of a candidate sense is done using one of the following methods:

- The first method performs categorization of the examples into one of the semantic groups derived from the concept metadata. In cases where the concepts in the Metathesaurus are assigned to the same semantic group this method cannot be applied. The following section explains how these sets are built.
- The second method relies on a model trained using the AEC corpus. Naïve Bayes is trained given citations retrieved for each sense of the ambiguous word and used to assign one of the candidate senses.

### Semantic group profiles

As we have seen in the discussion of the approaches above, we can make use of categorization of terms or citations. Unfortunately, we have no manually annotated terms or citations with semantic groups in MEDLINE to train a classifier. We propose to build profile vectors for UMLS semantic types and groups based on MEDLINE and monosemous terms.

For each semantic type, a profile vector is built as follows. Monosemous terms are selected randomly from the UMLS. MEDLINE citations containing these monosemous terms are retrieved using PUBMED.

Sentence boundaries are detected and sentences containing the monosemous terms are selected.

This corpus is tokenized and lowercased, and stopwords are removed. Dimensions of the vector are the extracted tokens. Each dimension in the vector is assigned a weight with the frequency in the corpus multiplied by the inverse document frequency obtained from MEDLINE. As explained above, profile vectors for terms and citations are obtained in a similar way.

In table 4, top terms in the profile vectors are shown for selected semantic types. We find that semantic types *T046 (Pathologic Function)* and *T047 (Disease or Syndrome)* are quite similar; so it is difficult to provide a proper classification into semantic types given a disorder. The same thing happens with semantic types *T116 (Amino Acid, Peptide, or Protein)* and *T126 (Enzyme)*.

Fortunately, there is a higher-level semantic categorization which clusters semantic types into semantic groups. In this categorization, T046 and T047 belong to the group *DISO (Disorders)* and T116 and T126 to the group *CHEM (Chemicals & Drugs)*. Semantic group profile vectors are built on the semantic type profiles. Semantic types are assigned to one semantic group. So retrieved sentences belonging to a semantic type are assigned to its semantic group. This corpus is processed as explained above to produce the profile vectors. Top terms for selected semantic groups are shown in table 5.

Cosine similarity is used to compare the profile vector of a given semantic group ( $c$ ) from the set ( $C$ ) with the profile vectors of terms and citations ( $cx$ ) used above; as shown in equation 1.

$$\text{Cos}(c, cx) = \operatorname{argmax}_{c \in C} \frac{c \cdot cx}{|c||cx|} \quad (1)$$

Categories like *CONC* (*Concepts & Ideas*) or *ANAT* (*Anatomy*) do not seem to behave coherently in a manual assessment and are not considered in any of the approaches presented in this study. The *CONC* group is very generic and its profile seems to always rank higher than any other group profile. On the other hand, the group *ANAT* is never assigned since the different body parts are linked to a disorder, which is always ranked higher.

### **Adding Second-order features (2-MRD)**

Second-order co-occurrence vectors were first described by Schütze [18] and later extended by Purandare and Pedersen [19] and Patwardhan and Pedersen [20] for the task of word sense discrimination. Later, McInnes [21] adapted these vectors for the task of disambiguation rather than discrimination. McInnes uses second-order co-occurrence vectors to represent the ambiguous term and each of its possible concepts. This is similar to the MRD method above except that the vectors used to represent the ambiguous terms and concepts are second-order co-occurrence vectors rather than the first-order co-occurrence vectors used in the MRD method. In this method, the ambiguous term is created by first creating a co-occurrence matrix in which rows represent the words surrounding the ambiguous term, and the columns represent words that co-occur in a corpus with those words. Each cell in this matrix contains the frequency in which the word found in the row occurs with the word in the column. Second, each of the words surrounding the target word are replaced by its corresponding vector as given in the co-occurrence matrix, and the centroid (averaged vector) of these vectors is the second-order co-occurrence vector used to represent the meaning of the target word. The vectors for each possible concept (concept profile vectors) are created in a similar fashion only by using the words in the concept’s definition as well as the definitions of its related concepts. The cosine is calculated between the vector representing the target word and each of the vectors representing the possible concepts. The possible concept whose vector is the closest is mapped to the term. McInnes filters the second-order features based on minimum and maximum frequency thresholds. In this work, we apply the same filter based on frequency and probability of collocation with the ambiguous word as presented above (2-MRDFilter).

## Evaluation data sets

An evaluation has been performed on two available data sets which have been annotated with Metathesaurus concept identifiers. These data sets are based on examples from MEDLINE but they have been developed using different approaches.

The NLM WSD data set [22, 23] contains 50 ambiguous terms which have been annotated with a sense number. Each sense number has been related to UMLS semantic types. 100 manually disambiguated cases are provided for each term. In case no UMLS concept is appropriate, *None of the above* has been assigned in the NLM WSD. The selection of the 50 ambiguous words was based on an ambiguity study of 409,337 citations added to the database in 1998. MetaMap was used to annotate UMLS concepts in the titles and abstracts based on the 1999 version of the UMLS. 50 highly frequent ambiguous strings were selected for inclusion in the test collection. Out of 4,051,445 ambiguous cases found in these citations, 552,153 cases are represented by these 50 terms. This means that a large number of ambiguous cases can be solved dealing with these highly frequent cases. A team of 11 people annotated the ambiguous cases with Metathesaurus entries. The data set is available from [24]. No CUIs were provided with the set, but there is a mapping to UMLS CUIs for the 1999 version of the UMLS Metathesaurus. In addition, from the same site [23] it is possible to obtain the version of the UMLS used for the development of the NLM WSD data set which we have used in our work. We have considered the same setup as Humphrey et al. [25] and discarded the *None of the above* category. Since the ambiguous term *association* has been assigned entirely to *None of the above*, it has been discarded. This means that we will present results for 49 out of the 50 ambiguous terms. In addition, we have used a second WSD test set, referred to as the MSH WSD set, developed automatically using MeSH indexing from MEDLINE [6]. This automatically developed set is based on the 2009AB version of the Metathesaurus and MEDLINE up to May 2010 using PUBMED to recover the documents. The Metathesaurus is screened to identify ambiguous terms which contain MeSH headings. Then, each ambiguous term and the MeSH headings linked to it are used to recover MEDLINE citations using PUBMED where the term and only one of the MeSH headings co-occur. The term found in the MEDLINE citation is assigned the UMLS concept identifier linked to the MeSH heading. Because this initial set is noisy, we have filtered out some of the ambiguous terms to enhance precision of the set. The filtering process targeted cases where at least 15 examples are available for each sense, filtered out noisy examples and ensured that each ambiguous word has more than 1 character. This filtered set has 203 ambiguous terms and includes not only words but abbreviations which, in some cases, are used as terms. In addition, it covers a larger set of semantic types compared to the NLM WSD set.

## Results

In this section, we present the comparison of the performance of the disambiguation methods before and after using the collocations. Comparisons of the results with different values of the different configurations are presented. Accuracy is used to compare the approaches and is defined in equation 2.

$$Accuracy = \frac{Instances\ Correctly\ Predicted}{Instances\ Correctly\ Predicted + Instances\ Incorrectly\ Predicted} \quad (2)$$

Statistical significance of the results is done by randomization tests where  $\cdot$  indicates  $p < 0.1$ ,  $\dagger$  indicates  $p < 0.05$  and  $\ddagger$  indicates  $p < 0.01$ .

Words occurring in the citation text where the ambiguous terms appear are used as the context of the ambiguous word. Several baselines are used to compare the approaches. The first one is the Maximum Frequency Sense (MFS) baseline, where the counts are obtained from the benchmark. This baseline is standard in WSD evaluation. Results are compared as well against a Naïve Bayes (NB) approach. NB is trained and tested using the evaluation sets sampled based on 10-fold cross-validation.

Tables 6 and 7 compare the baseline results to the results after adding the collocations, where LSC stands for left side collocations, Coll stands for co-occurrence collocations and CollParser stands for syntactic dependent collocations. These tables contain the best performance for each approach, where different parameters have been tested. We find that the semantic group profiles used to assign collocations to candidate senses work in the NLM WSD set but add noise to the MSH WSD set. Second-order features have two results per method. In the first one (2-MRD), all the features which appear more than five times are used while in the second one (2-MRDFilter) only the collocations which, in addition, are statistically significant are considered. This allows us to use these features with the AEC method which otherwise could not cope with a large set of features. Second-order features after filtering provide the larger improvement to the MRD method with the NLM WSD data set while it adds noise to the queries built by the AEC approach.

Results with thresholds for the k-NN method and the AEC categorization method to assign the different senses are presented in tables 8 and 9. We find that the semantic group approach works reasonably well in the NLM WSD set but decreases performance in the MSH WSD set while the contrary is true for the AEC categorization. Considering the disambiguation approaches, we can see that the AEC method prefers higher threshold values compared to the MRD method. A higher value means higher confidence on the assignment to one of the candidate senses and will prefer precision to recall in the assignment. This

explains as well the performance of the second-order features with these sets, where the MRD has an improvement in performance while AEC has a decrease in performance.

## Discussion

Our results show that collocations improve the performance of the two knowledge-based methods used in this work. In addition, the methods had different effects on these sets which have shown a similar behavior while assigning collocations to candidate concepts. Due to this, results per disambiguation sets are presented below. Finally, semantic categorization based on semantic group profiles is not effective with the MSH WSD set. We have analyzed the cross-semantic group relation based on the profiles of the semantic groups as shown below.

### NLM WSD corpus

Second-order features allow the MRD method to obtain the largest increase in performance. The ambiguous terms with the largest increase in performance are *extraction*, *single* and *energy*. The ambiguous terms with the largest decrease in performance are *japanese* and *ultrasond*. A largest improvement is obtained if we do not further filter the proposed features, which indicates that, in this data set, more features provide a better representation of the profile vector. On the other hand, the AEC method has lower performance after considering the second-order features. The AEC method is more sensitive to noise, so a more restricted set of features might provide better performance.

Left side collocations and dependent collocations seem to give a larger improvement. Left side collocations provide a narrower meaning of the ambiguous word; they are usually not ambiguous and seem to be assigned to the proper sense. This is partially because terms formed with these collocations and the ambiguous word found in the UMLS Metathesaurus are automatically classified into the proper semantic type. This means that the mistakes of the semantic group categorizer have a smaller impact. We find as well that using the UMLS Semantic Network taxonomy to link related types (e.g. Substance and Body Substance) improves over our previous work [12].

Collocations restricted to dependencies with the ambiguous term seem to further filter some of the spurious terms. On the other hand, we can still see some loss in accuracy compared to the original query. For example, the term *nurse* is assigned to the ambiguous term *support*.

Considering collocations within the citation text, we find that the performance increase is not that significant. This might be due to categorizer mistakes. Part of these mistakes are due to terms which could

either be assigned to more than one sense of the term or that are not related to any of the senses of the ambiguous terms. For example, terms like *medicine*, *practice* and *problems* are assigned to one of the senses of the ambiguous sense of *pathology*.

The approaches developed in our work rely on the ranking of categories provided by several categorizers. Different granularities should be considered in the categorization of entities because the coverage of the current approach is narrowed by the number of categories on which it can be applied. In addition, this process relies on the ranking of the categories, and it considers all the text in the citation so many different topics might be discussed in the document which might be similar to the topic of a different sense of the ambiguous term in the citation.

Finally, there are some ambiguous terms within the NLM WSD benchmark for which collocations could not be identified. These terms are: *blood pressure*, *pressure*, *growth*, *nutrition*.

### **MSH WSD corpus**

The AEC disambiguation method provides lower improvement compared to the results obtained with the NLM WSD set. Again, the best left side collocations provide an improved performance over the other types. AEC method is more sensitive to noise in the set of suggested collocations compared to the MRD method. Simply considering the term *European bat* for the M2 sense (mammal) of the term *BAT* allows obtaining better examples considering using the AEC method. The ambiguous term *cortex* is another example. It refers to either the *cerebral cortex* or to the *adrenal cortex disease*. Just the added term *adrenal cortex* seems to identify more appropriate examples compared to the other terms in the Metathesaurus like *adrenal cortex disease*. On the other hand, in RBC the two candidate senses either refer to red blood cells or the counting of red blood cells. This example is similar to *blood pressure* in the NLM WSD set, so it is easy to add noise using the distilled collocations. Furthermore, short acronyms with a high ambiguity level like DE which stands for *Delaware* and *Germany* are prone to retrieve documents with senses not covered in the Metathesaurus. Collocations in this case contribute to the noise of the original query.

The second-order features cause a non-significant change in performance considering the MRD method. As in the NLM WSD data set, the AEC method has a lower performance. Compared to the performance with the NLM WSD data set, this might indicate that the features extracted by the method did not contribute to produce better profile vectors. An explanation could be that the terms in the NLM WSD have higher frequency in MEDLINE, and consequently a larger number of co-occurring terms in the UMLS.

We can see as well that the assignment of the collocations to the senses using the semantic group

categorization degrades performance. This is not surprising if we consider the results of a similar approach called JDI [25] on this data set as shown in [6]. This means as well that the JDI approach might perform reasonably well on a limited set of semantic categories and perform poorly on the rest. In the following section we present a small study which analyses this issue.

### Semantic group profile analysis

Semantic group profiles have different behavior in the experiments done on the NLM WSD set vs. the MSH WSD set. We have evaluated the Semantic Group profiles comparing, given a term, the assigned group by the profiles with the Semantic Group of the term in the Metathesaurus. To do so, for each semantic group, we have collected terms from the UMLS which are assigned to only one semantic group. For each one of these terms, we have selected only terms which are not ambiguous and are longer than 25 characters. Using some of these terms, we have retrieved 1,000 MEDLINE citations for each semantic group and have used the semantic group profiles to assign the group with the highest score to each citations. Table 10 shows the inter-group results.

The *CHEM (Chemicals & Drugs)* group has the largest agreement between the group of the term and the prediction provided by the semantic group profiles. On the other hand, we find that the group *GENE (Genes & Molecular Sequences)* is largely assigned to the *CHEM* one. This might be because the *CHEM* group contains the type denoting proteins, and often in text it is difficult to distinguish between genes and gene products. We find that some groups are difficult to categorize as *LIVB (Living Beings)*, *OBJC (Objects)* and *PHEN (Phenomena)* which rarely are properly assigned.

These results could be compared to similar work based on the JDI disambiguation method [25] which is based on semantic types. In the comparison of knowledge-based methods concerning the NLM WSD set [5], the JDI method has the best performance. On the other hand, if we run the same methods but on the MSH WSD set [6], we find that the results are not that good and in many cases one of the candidate senses is preferred by the JDI method. The NLM WSD set has a reduced set of semantic types which seems to justify the good performance of this approach and is linked to the performance of the semantic group profiles. This indicates that disambiguation methods have different performance according to semantic type coverage, and we believe that there is a limited set of semantic categories where these techniques might work.

## Conclusions and Future Work

Collocations improve the performance of knowledge-based disambiguation methods, even though conclusions differ for each set and method. In the NLM WSD set, the improvement is larger for the MRD method using second-order features followed by the AEC method using dependent and left side collocations. Assignment of collocations to a candidate sense based on UMLS semantic group profiles seem to be effective. Assignment of collocation to a candidate sense based on knowledge-based methods is effective. Globally, the AEC method is sensitive to noisy collocations, and few selected terms provide a large improvement in disambiguation performance. The MRD method handles noisy terms better but requires a larger set of terms to improve the results. This explains the difference in performance between the AEC and the MRD methods in combination with second-order features, which have provided a larger set of features compared to other collocation extraction methods.

We envisage several directions for future work. We have found that some collocations add noise and decrease disambiguation performance. We would like to study the identification and removal of noisy terms, extending this study to terms from the knowledge source which might already contribute to a lower performance of the knowledge-based methods. Some techniques have already been suggested for query reformulation in information retrieval [26].

This might mean that determining the semantic category based on the contextual features still needs more research. One possibility to use semantic categories would be to study named entity recognition techniques. But these techniques require manually annotated data which is quite expensive to produce.

Granularity in the semantic types and groups might be another issue. The study of a different organization of the semantic categories might provide better results in disambiguation performance.

Second-order features have provided an improved performance to the MRD method in the NLM WSD set. We would like to extend the search for new terms which would improve the concept profiles based on clustering approaches.

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## Tables

**Table 1 - Left side collocation examples**

**Table 2 - Collocation examples based on co-occurrences**

**Table 3 - Collocation examples filtered using the Stanford parser**

**Table 4 - Example top terms for profile vectors for semantic types**

**Table 5 - Example top terms for profile vectors for semantic groups**

**Table 6 - NLM WSD results comparing the baselines and the proposed methods**

Accuracy results of the different methods using the NLM WSD set.

**Table 7 - MSH WSD results comparing the baselines and the proposed methods**

Accuracy results of the different methods using the MSH WSD set.

**Table 8 - NLM WSD results at different k-NN threshold levels**

Disambiguation results in terms of accuracy using the NLM WSD set. Several k-NN values are used in combination with the semantic group and the automatic extracted corpus methods. The disambiguation methods AEC and MRD are compared.

**Table 9 - MSH WSD results at different k-NN threshold levels**

Disambiguation results in terms of accuracy using the MSH WSD set. Several k-NN values are used in combination with the semantic group and the automatic extracted corpus methods. The disambiguation methods AEC and MRD are compared.

**Table 10 - Cross-group categorization confusion matrix**

The rows represent the category of the term, the columns the predictions by the semantic group categorizer. The diagonal indicates when a term has been correctly categorized into its semantic group.

Adjustment	Determination	Repair
psychosocial	quantitative	dna
psychological	spectrophotometric	excision
social	photometric	mismatch
marital	potentiometric	surgical
occlusal	accurate	hernia

Table 1: Left side collocation examples

Adjustment	Determination	Repair
age	chromatography	damage
study	liquid	injury
results	standard	defect
women	chromatographic	strand
data	quantitative	excision

Table 2: Collocation examples based on co-occurrences

Adjustment	Determination	Repair
measures	assay	damage
illness	procedure	injury
parents	paper	dna damage
social support		techniques
		recurrence

Table 3: Collocation examples filtered using the Stanford parser

Type: T046	Type: T047	Type: T116	Type: T126
patients	patients	activity	activity
management	case	delta	ec
case	hypoxic	rat	delta
cases	raeb	human	liver
diagnosis	management	liver	human
acute	diagnosis	ec	rat
treatment	treatment	deficiency	mitochondrial
spontaneous	allergic	mitochondrial	activities
massive	patient	alpha	enzyme
chronic	cases	enzyme	inhibition

Table 4: Example top terms for profile vectors for semantic types

Grp: DISO	Grp: CHEM	Grp: CONC	Grp: ANAT
patients	human	health	human
case	activity	patients	rat
treatment	acid	based	cells
cases	effects	study	function
diagnosis	effect	children	anatomy
management	rat	inter	normal
children	alpha	care	patients
congenital	synthesis	medical	case
patient	mg	data	left
syndrome	treatment	evaluation	neurons

Table 5: Example top terms for profile vectors for semantic groups

	SEC	MRD
Initial	0.7007	0.6362
LSC	0.7226†	<b>0.6368</b>
Coll	0.7163	0.6365
CollParser	<b>0.7233†</b>	0.6406
2-MRD	-	0.7158‡
2-MRDFilter	0.6295	0.6825‡
MFS	0.8550	0.8550
NB	0.8830	0.8830

Table 6: Accuracy results on the NLM WSD set

	AEC	MRD
Initial	0.8383	0.8070
LSC	<b>0.8416</b>	0.8082
Coll	0.8407	<b>0.8104†</b>
CollParser	0.8409	0.8098
2-MRD	-	0.8069
2-MRDFilter	0.8313	0.8072
MFS	0.5448	0.5448
NB	0.9386	0.9386

Table 7: Accuracy results on the MSH WSD set

		AEC				MRD			
		66	75	85	95	66	75	85	95
SG	LSC	0.7226	0.7220	0.7201	0.7082	<b>0.6368</b>	<b>0.6368</b>	0.6360	0.6360
	Coll	0.7163	0.7038	0.7102	0.7055	0.6365	0.6365	0.6363	0.6363
	CollParser	0.7120	0.7198	<b>0.7233</b>	0.7055	0.6362	0.6364	0.6362	0.6356
AEC	LSC	0.7052	0.7050	0.7110	0.7053	0.6348	0.6348	0.6344	0.6352
	Coll	<b>0.7128</b>	0.7027	0.6992	0.7004	0.6358	0.6359	0.6347	0.6347
	CollParser	0.7118	0.7023	0.7079	0.6969	<b>0.6406</b>	0.6372	0.6356	0.6357

Table 8: NLM WSD results at different k-NN threshold levels

		AEC				MRD			
		66	75	85	95	66	75	85	95
SG	LSC	0.8370	0.8371	0.8371	<b>0.8377</b>	0.8071	0.8070	0.8071	0.8071
	Coll	0.8173	0.8214	0.8268	0.8327	<b>0.8082</b>	0.8077	0.8073	0.8071
	CollParser	0.8284	0.8271	0.8337	0.8355	0.8076	0.8071	0.8071	0.8071
AEC	LSC	0.8391	0.8413	<b>0.8416</b>	0.8400	0.8072	0.8072	0.8072	0.8071
	Coll	0.8252	0.8331	0.8385	0.8407	<b>0.8104</b>	<b>0.8104</b>	0.8100	0.8092
	CollParser	0.8298	0.8337	0.8396	0.8409	0.8098	0.8093	0.8090	0.8090

Table 9: MSH WSD results at different k-NN threshold levels

	ACTI	ANAT	CHEM	CONC	DEVI	DISO	GENE	GEOG	LIVB	OBJC	OCCU	ORGA	PHEN	PHYS	PROC
ACTI	230	27	56	119	4	59	19	123	13	28	82	76	42	53	69
ANAT	7	311	64	50	5	290	27	2	29	3	4	2	28	100	78
CHEM	0	34	795	1	1	54	34	0	8	0	0	0	26	36	11
CONC	58	105	54	145	7	348	31	7	14	15	36	10	44	69	57
DEVI	29	74	63	110	141	187	7	0	25	30	35	28	53	80	138
DISO	2	167	40	31	31	561	3	6	25	3	4	2	47	36	42
GENE	0	47	444	4	0	8	409	0	8	2	2	0	0	66	10
GEOG	117	17	23	108	0	100	21	298	77	15	53	80	22	35	34
LIVB	182	103	93	80	3	169	30	7	64	8	19	33	45	133	31
OBJC	73	16	230	80	16	65	31	19	46	81	15	46	61	124	97
OCCU	136	66	35	43	14	93	23	33	12	9	309	55	12	68	92
ORGA	150	2	5	64	3	88	5	32	16	126	69	382	8	10	40
PHEN	47	80	212	15	12	66	116	2	58	4	24	4	78	242	40
PHYS	3	446	164	4	0	24	31	2	9	0	8	1	20	273	15
PROC	17	95	42	65	30	200	8	3	3	5	18	4	109	91	310

Table 10: Table 8 - Cross-group categorization